STATE OF INDIANA COUNTY OF SHELBY)) SS:)	AVC NO. 01-014 AVC NO. 01-014 Continue of the second sec
IN RE: Marquis Mortgage, Respo		CLERK OF SHELBY CIRCUIT COURT MISCELLANEOUS DOCKET NO. 7301-0102-MI-004

ASSURANCE OF VOLUNTARY COMPLIANCE

The State of Indiana, by Attorney General Steve Carter and Deputy Attorney

General Justin G. Hazlett, and the Respondent, Marquis Mortgage, Inc., enter into an

Assurance of Voluntary Compliance ("Assurance") pursuant to Indiana Code § 24-5-0.5
7.

This Assurance is entered into without any adjudication of any issue of fact or law, and upon consent of the parties.

Any violation of the terms of this Assurance constitutes prima facie evidence of a deceptive act.

The parties agree that:

- 1. The Consumer Protection Division of the Office of the Attorney General has jurisdiction to investigate the matters hereinafter described, pursuant to the authority of Indiana Code § 4-6-9-4 and Indiana Code § 24-5-0.5-1 et seq.
- 2. Respondent has had its principal place of business at 8370 N 400 W, Fairland, Indiana 46126.
 - 3. The terms of this Assurance apply to and are binding upon Respondent and its

employees, agents, representatives, successors, and assigns.

- 4. Respondent will comply with <u>all</u> the requirements of Indiana Code § 24-5-0.5-1 et seq., including:
 - a. Respondent will not solicit to engage in a consumer transaction without a permit or other license required by law.
 - b. Respondent will not solicit to engage in a consumer transaction if a permit or other license is required by law to engage in the consumer transaction and Respondent is not qualified to obtain the required permit or other license or does not intend to obtain the permit or other license.
 - c. Respondent will not engage in a consumer transaction without a permit or other license required by law.
- 5. Respondent represents that the attached Exhibit A constitutes a complete list of the consumers who purchased tickets from Respondent. Respondent further represents that all money received from these consumers has been returned to the consumers, in the amounts specified in Exhibit A.
- 6. Upon the execution of this Assurance, Respondent will pay to the Office of the Attorney General the total of Five Hundred Dollars (\$500) as costs of the Office's investigation into this matter.
- 7. Respondent will not represent that the Office of the Indiana Attorney General approves or endorses Respondent's past or future business practices, or that execution of this Assurance constitutes such approval or endorsement.
- 8. Respondent will fully cooperate with the Office of the Indiana Attorney
 General in the resolution of any future written complaints the Consumer Protection

Division receives.

9. The Office of the Indiana Attorney General will file this Assurance with the Circuit Court of Shelby County. The Court's approval of this Assurance will not act as a bar to any private right of action.

DATED this 12nd day of February, 2001.

STATE OF INDIANA

STEVE CARTER

Attorney General of Indiana

Justin G. Hazlett

Deputy Attorney General Attorney No. 22046-49

Office of the Attorney General Indiana Government Center South 5th floor 402 W. Washington Street

Indianapolis, IN 46204 (317) 232-0167

APPROVED this 5 day of _

RESPONDENT

MARQUIS MORTGAGE, INC.

Robert Turner,

President of Marquis Mortgage, Inc.

830 W. Banta

Indianapolis, IN 46217

(317) 439-8265

2001

Judge, Shelby Circuit Court



Person CHRISTINE HORNE	Our Refund Check # 1229	Amount 150.00
ROGER PARIENT	1230	150.00
FLUELLA UMMEL	1228	150.00
VERA SULLIVAN	1235	150.00
ELEANOR VOGLER	1226	150.00
STEVE CHASSEY	1231	150.00
KARL KORBACHER	1227	150.00
TAMMIE FLALL	1232	150.00
SUSAN JOHNSON	1221	150.00
JAVIER FUENTEZ	1222	1,50,00
JIM FULPER	1233	150.00
JEFF DĄVIS	1223	J 50.00
BRAD DRAKE	1231	150.00
WILLIAM FIKE	1224	150.00
KATHY SPRINGER	1225	150.00
	CHRISTINE HORNE ROGER PARIENT FLUELLA UMMEL VERA SULLIVAN ELEANOR VOGLER STEVE CHASSEY KARL KORBACHER TAMMIE HALL SUSAN JOHNSON JAVIER FUENTEZ JIM FULPER JEFF DAVIS BRAD DRAKE WILLIAM FIKE	CHRISTINE HORNE 1229 ROGER PARIENT 1230 FLUELLA UMMEL 1228 VERA SULLIVAN 1235 ELEANOR VOGLER 1226 STEVE CHASSEY 1231 KARL KORBACHER 1227 TAMMIE HALL 1232 SUSAN JOHNSON 1221 JAVIER FUENTEZ 1222 JIM FULPER 1233 JEFF IDAVIS 1223 BRAD DRAKE 1234 WILLIAM FIKE 1224

ALL MONEY RECEIVED WAS REFUNDED FOR THE SAME AMOUNT. EVERYONE THAT SENT IN MONEY ONLY SENT IN \$150.00 AND THEN WE REFUNDED THEM THE SAME AMOUNT.

